

# Psych CE

## Ethics and Legal Regulations for Behavioral Health Professionals

**1. Behavioral health providers are responsible for adhering to which of the following laws?**

- A. Agency policies and procedures
  - B. Professional ethical guidelines
  - C. Accreditation standards
  - D. Duty to warn
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**2. Which of the following statements is true regarding behavioral health providers and legal requirements?**

- A. Behavioral health providers should be familiar with both federal laws and the state laws that apply to their practice.
  - B. Behavioral health providers only need to know federal laws because federal laws always override state laws.
  - C. Behavioral health providers do not need to know state laws as long as they know federal laws.
  - D. State laws only apply to behavioral health providers who work in private practice.
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**3. All of the following except which are examples of dual relationships**

- A. Belonging to a softball team with a patient
  - B. Seeing a patient in the community pool weekly
  - C. Running into a patient at the grocery store once
  - D. Knowing a patient's father very well
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**4. Which of the following requires mandatory reporting?**

- A. Physical abuse only
  - B. Neglect only
  - C. Financial exploitation only
  - D. Mental abuse only
  - E. All suspected forms of abuse, neglect, and exploitation
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**5. Duty to Warn laws only apply if:**

- A. There is reasonable cause to believe the patient could harm someone else.
- B. A patient has been diagnosed with a mental health disorder.

- C. A family member expresses concern about the patient's behavior.
  - D. The patient refuses treatment recommendations.
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**6. Which statement is accurate regarding ethics requirements for behavioral health professionals?**

- A. All behavioral health professionals adhere to the same set of ethics requirements.
  - B. Ethics requirements vary by profession, licensing board, and scope of practice.
  - C. Ethics requirements are determined solely by an employer's policies.
  - D. Ethics requirements only apply to licensed professionals
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**7. HIPAA requires all but which of the following kinds of safeguards?**

- A. Physical safeguards
  - B. Technological safeguards
  - C. Verbal safeguards
  - D. Administrative safeguards
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**8. Mandatory reporting laws apply to who?**

- A. Children only
  - B. Aging individuals who require care only
  - C. Individuals with intellectual disabilities only
  - D. Individuals at risk for suicide (plan and intent is present) only
  - E. Multiple populations defined by law, including vulnerable individuals and others who meet reporting criteria
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**9. A behavioral health provider needs to witness abuse before reporting it. Which statement is correct?**

- A. A report can only be made if the victim requests it.
  - B. A provider may be required to report suspected abuse even if they did not witness it.
  - C. Abuse must be witnessed firsthand before it can be reported.
  - D. Abuse can only be reported after an investigation confirms it occurred.
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**10. The following can provide support to providers with concerns about ethics**

- A. Neighbors
  - B. Spouses
  - C. Friends
  - D. Coworkers who are not involved in the case
  - E. Supervisors and ethics committees
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